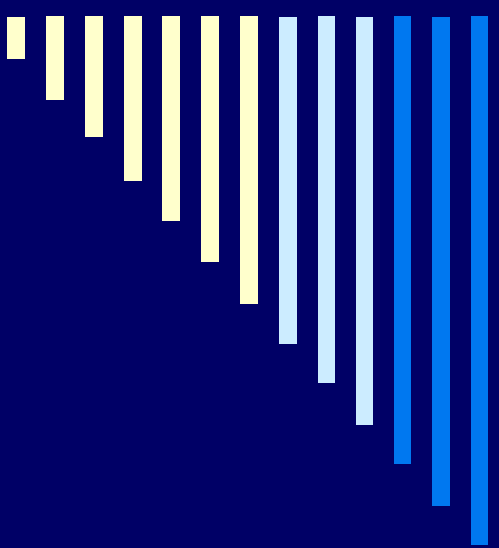


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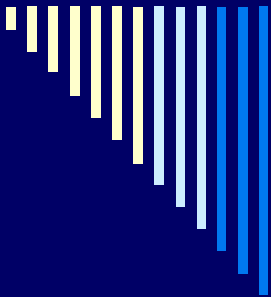
# Post-Quantum Cryptography



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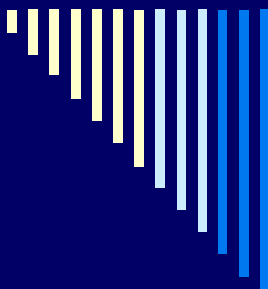


# Syndrome Decoding



# Syndrome Decoding

- Let  $q = p^m$  for some prime  $p$  and  $m > 0$  (for cryptographic applications  $p = 2$ ).
- The (Hamming) *weight*  $w(u)$  of  $u \in (\mathbb{F}_q)^n$  is the number of nonzero components of  $u$ .
- The distance between  $u, v \in (\mathbb{F}_q)^n$  is  $\text{dist}(u, v) \equiv w(u - v)$ .
- A linear  $[n, k]$ -code  $\mathcal{C}$  over  $\mathbb{F}_q$  is a  $k$ -dimensional vector subspace of  $(\mathbb{F}_q)^n$ .



# General/Syndrome Decoding (GDP/SDP)

## □ GDP

### □ **Input:**

- positive integers  $n, k, t$ ;
- generator matrix  $G \in (\mathbb{F}_q)^{k \times n}$ ;
- vector  $c \in (\mathbb{F}_q)^n$ .

□ **Question:**  $\exists? m \in (\mathbb{F}_q)^k$  such that  $e = c - mG$  has weight  $w(e) \leq t$ ?

## □ SDP

### □ **Input:**

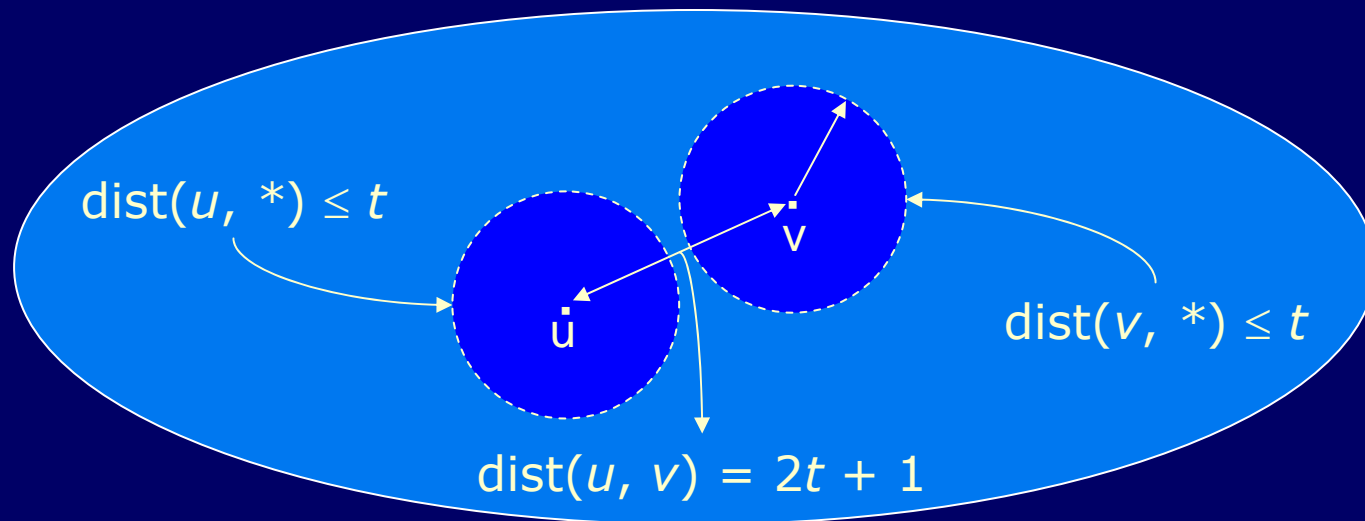
- positive integers  $n, r, t$ ;
- parity-check matrix  $H \in (\mathbb{F}_q)^{r \times n}$ ;
- vector  $s \in (\mathbb{F}_q)^r$ .

□ **Question:**  $\exists? e \in (\mathbb{F}_q)^n$  of weight  $w(e) \leq t$  such that  $He^T = s^T$ ?

Both are NP-complete!

# Syndrome Decoding

- Let  $d = \min\{\text{dist}(u, v) \mid u, v \in \mathcal{C}\}$ . If  $v, e \in (\mathbb{F}_2)^n$  and  $w(e) \leq \lfloor (d-1)/2 \rfloor \equiv t$ , the SDP has a unique solution for  $c = v \oplus e$ .





# Syndrome Decoding

- Determining the minimum distance of a linear code is *NP*-hard.
- Bounded Distance Decoding Problem (BDDP):
  - Given a binary  $(n, k)$ -code  $\mathcal{C}$  with known minimum distance  $d$  and  $c \in (\mathbb{F}_2)^n$ , find  $v \in \mathcal{C}$  such that  $\text{dist}(v, c) = d$ .
- $\therefore$  BDDP is SDP with knowledge of  $d$ .
- BDDP is *believed* (but not known for sure) to be intractable.



# Ranking and Unranking Permutations

- Some SDP-based cryptosystems represent messages as  $t$ -error  $n$ -vectors, i.e.  $n$ -bit vectors with Hamming weight  $t$ .
- Mapping messages between error vector and normal form involves permutation *ranking* and *unranking*.



# Ranking and Unranking Permutations

- Let  $B(n, t) = \{u \in (\mathbb{F}_2)^n \mid w(u) = t\}$ , with cardinality

$$r = \binom{n}{t} \approx \frac{n^t}{t!}$$

- A *ranking function* is a mapping  $rank: B(n, t) \rightarrow \{1 \dots r\}$  which associates a unique index in  $\{1 \dots r\}$  to each element in  $B(n, t)$ . Its inverse is called the *unranking function*.
- Rank size:  $\lg r \approx t (\lg n - \lg t + 1)$  bits.





# Ranking and Unranking Permutations

- Ranking and unranking can be done in  $O(n)$  time (Ruskey 2003, algorithm 4.10).
- Computationally simplest ordering: colex.
- Definition:  $a_1a_2\dots a_n < b_1b_2\dots b_m$  in colex order iff  $a_n\dots a_2a_1 < b_m\dots b_2b_1$  in lex order.



# Colex Ranking

- Sum of binomial coefficients:

$$\text{Rank}(a_1 a_2 \dots a_k) = \sum_{j=1}^k \binom{a_j - 1}{j}$$

- Implementation strategy: precompute a table of binomial coefficients.



# Colex Unranking

```
input:  $r$  // permutation rank  
for  $j \leftarrow k$  downto 1 {  
     $p \leftarrow j$   
    while  $\binom{p}{j} \leq r$  {  
         $p \leftarrow p + 1$   
    }  
     $r \leftarrow r - \binom{p-1}{j}$   
     $a_j \leftarrow p$   
}  
return  $a_1 a_2 \dots a_k$ 
```



# Irreducible Polynomials

- Theorem: for  $i \geq 1$ , the polynomial  $x^{q^i} - x \in \mathbb{F}_q[x]$  is the product of all monic irreducible polynomials in  $\mathbb{F}_q[x]$  whose degree divides  $i$ .
- Ben-Or irreducibility test: monic  $g \in \mathbb{F}_q[x]$  of degree  $d$  is irreducible iff  $\text{GCD}(g, x^{q^i} - x \bmod g) = 1$  for  $i = 1, \dots, d/2$ .



# Irreducible Polynomials

- Efficient implementation of Ben-Or:
  - compute  $y \leftarrow x^q \bmod g$ .
  - compute  $z_i \leftarrow y^i \bmod g$  for  $0 \leq i < t$ .
  - initialize  $v \leftarrow x$ .
  - for  $j = 1, \dots, t/2$ :
    - let  $v = \sum_{i=0}^{t-1} v_i x^i$ : set  $v \leftarrow x^{qj} \bmod g = v^q \bmod g = (\sum_{i=0}^{t-1} v_i x^i)^q \bmod g = \sum_{i=0}^{t-1} v_i (x^q \bmod g)^i \bmod g = \sum_{i=0}^{t-1} v_i (y^i \bmod g) = \sum_{i=0}^{t-1} v_i z_i$ .
    - check that  $\text{GCD}(g, (v - x) \bmod g) \neq 1$ .



# Goppa Codes

- Let  $g(x) = \sum_{i=0}^t g_i x^i$  be a monic ( $g_t = 1$ ) polynomial in  $\mathbb{F}_q[x]$ .
- Let  $L = (L_0, \dots, L_{n-1}) \in (\mathbb{F}_q)^n$  (all distinct) such that  $g(L_j) \neq 0$  for all  $j$ .
- Properties:
  - Easy to generate and plentiful.
  - Usually  $g(x)$  is chosen to be irreducible; if so,  $\mathbb{F}_{q^t} = \mathbb{F}[x]/g(x)$ .



# Goppa Codes

- The *syndrome function* is the linear map  $S: (\mathbb{F}_p)^n \rightarrow \mathbb{F}_q[x]/g(x)$ :

$$S(c) = \sum_{i=0}^{n-1} \frac{c_i}{x - L_i} = \sum_{c_j=1} \frac{1}{x - L_i} \pmod{g(x)}.$$

- The *Goppa code*  $\Gamma(L, g)$  is the kernel of the syndrome function, i.e.  $\Gamma = \{c \in (\mathbb{F}_p)^n \mid S(c) = 0\}$ .



# Goppa Codes

- N.B. Usually  $t = O(n / \lg n)$ . CFS are an exception, with  $n = O(t!)$ .
- The syndrome can be written in matrix form as a mapping  $H^*: (\mathbb{F}_p)^n \rightarrow (\mathbb{F}_q)^t$  or even  $H: (\mathbb{F}_p)^n \rightarrow (\mathbb{F}_p)^{mt}$  (just write the  $\mathbb{F}_p$  components of each  $\mathbb{F}_q$  element from  $H^*$  on  $m$  successive rows of  $H$ ).
- $H$  is the *parity check matrix* of the code. Determining whether  $c \in (\mathbb{F}_p)^n$  is a code word amounts to checking that  $Hc^T = 0$ .



# Parity-Check Matrix

- Easy to compute  $H^*$  from  $L$  and  $g$ , namely,  $H^*_{t \times n} = T_{t \times t} V_{t \times n} D_{n \times n}$ , where:

$$T = \begin{bmatrix} 1 & 0 & 0 & \dots & 0 \\ g_{t-1} & 1 & 0 & \dots & 0 \\ g_{t-2} & g_{t-1} & 1 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ g_1 & g_2 & g_3 & \dots & 1 \end{bmatrix}, \quad V = \begin{bmatrix} 1 & 1 & \dots & 1 \\ L_0 & L_1 & \dots & L_{n-1} \\ L_0^2 & L_1^2 & \dots & L_{n-1}^2 \\ \vdots & \vdots & \ddots & \vdots \\ L_0^{t-1} & L_1^{t-1} & \dots & L_{n-1}^{t-1} \end{bmatrix},$$

$$D = \begin{bmatrix} 1/g(L_0) & 0 & \dots & 0 \\ 0 & 1/g(L_1) & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 1/g(L_{n-1}) \end{bmatrix}.$$



# Generator Matrix

- A Goppa code  $\Gamma$  is a  $k$ -dimensional subspace of  $(\mathbb{F}_p)^n$  for some  $k$  with  $n - mt \leq k \leq n - t$ .
- In general the minimum distance of  $\Gamma$  is  $d \geq t + 1$ , but in the *binary* case whenever  $g(x)$  has no multiple zero (in particular when  $g(x)$  is irreducible) the minimum distance becomes  $d \geq 2t + 1$ .



# Generator Matrix

- A *generator matrix* for  $\Gamma$  is a matrix  $G_{k \times n}$  whose rows form a basis of  $\Gamma$ .
- $G$  defines a mapping  $(\mathbb{F}_p)^k \rightarrow (\mathbb{F}_p)^n$  such that  $uG \in \Gamma, \forall u \in (\mathbb{F}_p)^k$ .
- Therefore  $H(uG)^T = HG^T u^T = o^T$  for all  $u$ , i.e.  $HG^T = O$ .



# Generator Matrix

- If  $G$  is in *echelon* form, it is trivial to map between  $(\mathbb{F}_p)^k$  and  $(\mathbb{F}_p)^n$ .
- The first  $k$  columns of  $uG \in (\mathbb{F}_p)^n$  directly spell  $u \in (\mathbb{F}_p)^k$  itself.
- The remaining  $n - k$  columns contain the “checksum” of  $u$ .



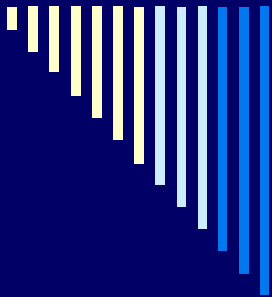
# Generator Matrix

- It is easy to solve  $H_{mt \times n} G_{n \times k}^T = O_{mt \times k}$  for  $G$  in echelon form and  $k = n - mt$ , i.e.  $G_{k \times n} = [I_{k \times k} \mid X_{k \times mt}]$ .
- Let  $H_{mt \times n} = [L_{mt \times k} \mid R_{mt \times mt}]$ . Equation  $HG^T = O$  becomes  $[L_{mt \times k} \mid R_{mt \times mt}] [I_{k \times k} \mid X_{k \times mt}^T] = L_{mt \times k} + R_{mt \times mt} X_{k \times mt}^T = O_{mt \times k}$ , whose solution is  $X_{k \times mt}^T = R_{mt \times mt}^{-1} L_{mt \times k}$ , or  $G_{k \times n} = [I_{k \times k} \mid L_{k \times mt}^T (R^T)^{-1}_{mt \times mt}]$ .



# Generator Matrix

- Any nonzero matrix  $H'$  satisfying  $H'G^T = 0$  is an alternative parity check matrix.
  - Since  $T_{t \times t}$  is invertible ( $\det(T) = 1$ ) and  $H_{t \times n} = T_{t \times t} V_{t \times n} D_{n \times n}$ , clearly  $H'G^T = 0$  for  $H' = VD$ .
  - Let  $G_{k \times n} = [I_{k \times k} \mid X_{k \times t}]$  and  $H'' = [X_{t \times k}^T \mid I_{t \times t}]$ . Clearly  $[X_{t \times k}^T \mid I_{t \times t}] [I_{k \times k} \mid X_{k \times t}^T] = O_{t \times k}$ , i.e.  $H''G^T = 0$ .
  - For any nonsingular matrix  $S_{t \times t}$ ,  $H''' \leftarrow SH''$  satisfies  $H'''G^T = 0$ .



# Error Correction



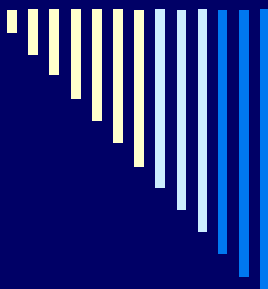
# Error Locator Polynomial

- Efficient decoding procedure for known  $g$  and  $L$  via the *error locator polynomial*:

$$\sigma(x) \equiv \prod_{e_j=1} (x - L_j) \in \mathbb{F}_q[x]/g(x).$$

- Property:  $\sigma(L_j) = 0 \Leftrightarrow e_j = 1$ .





# Alternant Error Locator Polynomial

- Efficient decoding procedure for known  $g$  and  $L$  via the *error locator polynomial*:

$$\sigma(x) \equiv \prod_{e_i \neq 0} (1 - xL_i) \in \mathbb{F}_q[x]/g(x).$$

- Property:  $\sigma(L_i^{-1}) = 0 \Leftrightarrow e_i \neq 0$ .



# Error Correction

- Let  $m \in \Gamma$ , let  $e \in (\mathbb{F}_2)^n$  be an error vector of weight  $w(e) \leq t$ , and  $c = m \oplus e$ .
- Compute the syndrome of  $e$  through the relation  $S(e) = S(c)$ .
- Compute the error locator polynomial  $\sigma$  from the syndrome (Sugiyama *et al.* 1975).
- Determine which  $L_i$  are zeroes of  $\sigma$ , thus retrieving  $e$  and recovering  $m$ .



# Error Correction (aka “Binary Goppa Miracle”)

- Let  $s(x) \leftarrow S(e)$ . If  $s(x) \equiv 0$ , nothing to do (no error), otherwise  $s(x)$  is invertible.
  - Property #1:  $\sigma(x) = a(x)^2 + xb(x)^2$ .
  - Property #2:  $\frac{d}{dx}\sigma(x) = b(x)^2$ .
  - Property #3:  $\frac{d}{dx}\sigma(x) = \sigma(x)s(x)$ .
- Thus  $b(x)^2 = (a(x)^2 + xb(x)^2)s(x)$ , hence  $a(x) = b(x)v(x)$  with  $v(x) = \sqrt{x + 1/s(x)} \bmod g(x)$ .
  - Extended Euclid!
  - Extended Euclid!



# Computing $s(x)^{-1} \pmod{g(x)}$

$F \leftarrow s, G \leftarrow g, B \leftarrow 1, C \leftarrow 0$

**while** ( $\deg(F) > 0$ ) {

**if** ( $\deg(F) < \deg(G)$ ) {

$F \leftrightarrow G, B \leftrightarrow C$

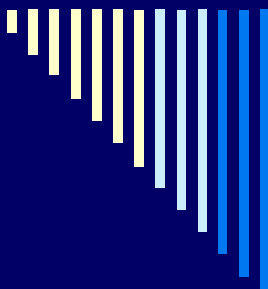
    }

$j \leftarrow \deg(F) - \deg(G), h \leftarrow F_{\deg(F)} / G_{\deg(G)}$

$F \leftarrow F - h x^j G, B \leftarrow B - h x^j C$

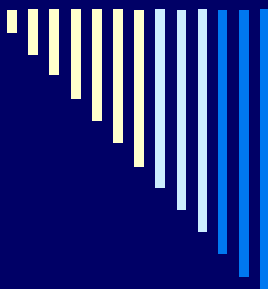
}

**if** ( $F \neq 0$ ) **return**  $B / F_0$  **else** "not invertible"



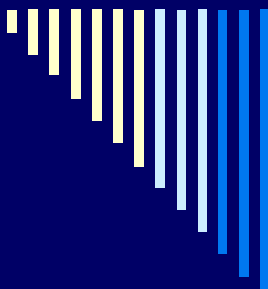
# Decoding a binary Goppa syndrome $s(x)$

- Given:  $v(x), g(x) \in \mathbb{K}[x]$
- Find:  $a(x), b(x), f(x) \in \mathbb{K}[x]$
- Where:  $b(x)v(x) + f(x)g(x) = a(x)$
- Thus  $a(x) = b(x)v(x) \bmod g(x)$ , i.e.  
 $a(x) = b(x)v(x)$  in  $\mathbb{K}[x]/g(x)$ .
- Conditions:
  - $\deg(a) \leq \lfloor t/2 \rfloor, \deg(b) \leq \lfloor (t-1)/2 \rfloor$ .



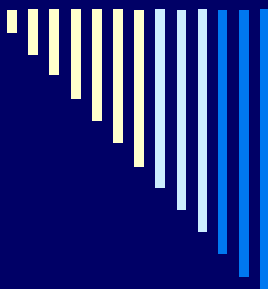
# Decoding a binary Goppa syndrome $s(x)$

```
 $A \leftarrow v, a \leftarrow g, B \leftarrow 1, b \leftarrow 0, t \leftarrow \deg(g)$   
while ( $\deg(a) > \lfloor t/2 \rfloor$ ) {  
   $A \leftrightarrow a, B \leftrightarrow b$   
  while ( $\deg(A) \geq \deg(a)$ ) {  
     $j \leftarrow \deg(A) - \deg(a), h \leftarrow A_{\deg(A)} / a_{\deg(a)}$   
     $A \leftarrow A - h x^j a, B \leftarrow B - h x^j b$   
  }  
}  
 $\sigma(x) \leftarrow a(x)^2 + xb(x)^2$   
return  $\sigma$  // error locator polynomial
```



# Decoding an alternant syndrome $s(x)$

- Given:  $s(x) \in \mathbb{K}[x], t \in \mathbb{N}$
- Find:  $\omega(x), \sigma(x), f(x) \in \mathbb{K}[x]$
- Where:  $\sigma(x)s(x) + f(x)x^{2t} = \omega(x)$
- Thus  $\omega(x) = \sigma(x)s(x) \bmod x^{2t}$ , i.e.  
 $\omega(x) = \sigma(x)s(x) \in \mathbb{K}[x]/x^{2t}$ .
- Conditions:
  - $\deg(\omega) \leq t - 1, \deg(\sigma) \leq t$ .



# Decoding an alternant syndrome $s(x)$

$A \leftarrow s, a \leftarrow x^{2t}, B \leftarrow 1, b \leftarrow 0$

**while** ( $\deg(a) > t - 1$ ) {

$A \leftrightarrow a, B \leftrightarrow b$

**while** ( $\deg(A) \geq \deg(a)$ ) {

$j \leftarrow \deg(A) - \deg(a), h \leftarrow A_{\deg(A)} / a_{\deg(a)}$

$A \leftarrow A - h x^j a, B \leftarrow B - h x^j b$

    }

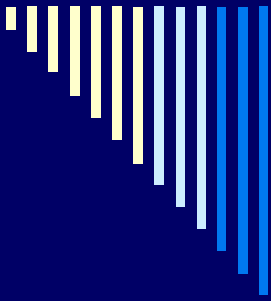
}

$\sigma(x) \leftarrow b(x) / b_0$  // hence  $\sigma(0) = 1$

$\omega(x) \leftarrow a(x) / b_0$  // normalize

**return**  $\omega, \sigma$  // error evaluator & locator polynomials





# Coding-Based Cryptosystems



# McEliece Cryptosystem

## □ Key generation:

- Let  $p$  be a prime power and  $q = p^d$  for some  $d$ .
- Choose a secure, uniformly random  $[n, k]$   $t$ -error correcting alternant code  $\mathcal{A}(L, D)$  over  $\mathbb{F}_p$ , with  $L, D \in (\mathbb{F}_q)^n$ .
- N.B.  $\mathcal{A}(L, D)$  defined e.g. by the parity-check matrix  $H = \text{vdm}(L) \text{diag}(D)$ .
- Compute for  $\mathcal{A}(L, D)$  a systematic generator matrix  $G \in (\mathbb{F}_p)^{k \times n}$ .
- Set  $K_{\text{priv}} = (L, D)$ ,  $K_{\text{pub}} = (G, t)$ .



# McEliece Cryptosystem

- “Hey, wait, I know McEliece, and this does not look quite like it!”
- Observations:
  - A *secret, random*  $L$  is equivalent to a *public, fixed*  $L$  coupled to a *secret, random* permutation matrix  $P \in (\mathbb{F}_p)^{k \times k}$ , with  $\mathcal{A}(LP, DP)$  as the effective code.
  - If  $G_0$  is a generator for  $\mathcal{A}(L, D)$  when  $L$  is public and fixed, and  $S$  is the matrix that puts  $G_0P$  in systematic form, then  $G = SG_0P$  is a systematic generator of  $\mathcal{A}(LP, DP)$ , as desired.
  - Goppa:  $D = 1/g(L)$ ,  $\mathcal{A}(L, D) = \Gamma(L, g)$ ,  $K_{\text{priv}} = (L, g)$ .



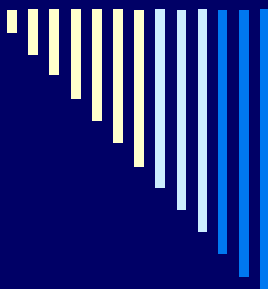
# McEliece Cryptosystem

- Encryption of a plaintext  $m \in (\mathbb{F}_p)^k$ :
  - Choose a uniformly random  $t$ -error vector  $e \in (\mathbb{F}_p)^n$  and compute  $c = mG + e \in (\mathbb{F}_p)^n$  (IND-CCA2 variant via e.g. Fujisaki-Okamoto).
  
- Decryption of a ciphertext  $c \in (\mathbb{F}_p)^n$ :
  - Use the trapdoor to obtain the usual alternant parity-check matrix  $H$  (or equivalent).
  - Compute the syndrome  $s^T \leftarrow Hc^T = He^T$  and decode it to obtain the error vector  $e$ .
  - Read  $m$  directly from the first  $k$  components of  $c - e$ .



# McEliece-Fujisaki-Okamoto: Setup

- Random oracle (message authentication code)  $\mathcal{H}: (\mathbb{F}_p)^k \times \{0, 1\}^* \rightarrow \mathbb{Z}/s\mathbb{Z}$ , with  $s = (n \text{ choose } t) (p - 1)^t$ .
- Unranking function  $\mathcal{U}: \mathbb{Z}/s\mathbb{Z} \rightarrow (\mathbb{F}_p)^n$ .
- Ideal symmetric cipher  $\mathcal{E}: (\mathbb{F}_p)^k \times \{0, 1\}^* \rightarrow \{0, 1\}^*$ .
- Alternant decoding algorithm  $\mathcal{D}: (\mathbb{F}_q)^n \times (\mathbb{F}_q)^n \times (\mathbb{F}_p)^n \rightarrow (\mathbb{F}_p)^k \times (\mathbb{F}_p)^n$ .



# McEliece-Fujisaki-Okamoto: Encryption

- Input:
  - uniformly random symmetric key  $r \in (\mathbb{F}_p)^k$ ;
  - message  $m \in \{0, 1\}^*$ .
- Output:
  - McEliece-FO ciphertext  $c \in (\mathbb{F}_p)^n \times \{0, 1\}^*$ .
- Algorithm:
  - $h \leftarrow \mathcal{H}(r, m)$
  - $e \leftarrow \mathcal{U}(h)$
  - $w \leftarrow rG + e$
  - $d \leftarrow \mathcal{E}(r, m)$
  - $c \leftarrow (w, d)$



# McEliece-Fujisaki-Okamoto: Decryption

- Input:
  - McEliece-FO ciphertext  $c = (w, d)$ .
- Output:
  - message  $m \in \{0, 1\}^*$ , or rejection.
- Algorithm:
  - $(r, e) \leftarrow \mathcal{D}(L, D, w)$
  - $m \leftarrow \mathcal{E}^{-1}(r, d)$
  - $h \leftarrow \mathcal{H}(r, m)$
  - $v \leftarrow \mathcal{U}(h)$
  - accept  $m \Leftrightarrow v = e$  and  $w = rG + e$



# Niederreiter Cryptosystem

## □ Key generation:

- Choose a secure, uniformly random  $[n, k]$   $t$ -error correcting alternant code  $\mathcal{A}(L, D)$  over  $\mathbb{F}_p$ , with  $L, D \in (\mathbb{F}_q)^n$ .
- Compute for  $\mathcal{A}(L, D)$  a systematic parity-check matrix  $H \in (\mathbb{F}_p)^{r \times n}$ .
- Set  $K_{\text{priv}} = (L, D)$ ,  $K_{\text{pub}} = (H, t)$ .





# Niederreiter Cryptosystem

- Encryption of plaintext  $m \in \mathbb{Z}/s\mathbb{Z}$ ,  $s = (n \text{ choose } t) (p - 1)^t$  :
  - Represent  $m$  as a  $t$ -error vector  $e \in (\mathbb{F}_p)^n$  via permutation unranking.
  - Compute the syndrome  $c^T = He^T$  as ciphertext.
- Decryption of ciphertext  $c \in (\mathbb{F}_p)^r$ :
  - Let  $H_0 = \text{vdm}(L) \text{diag}(D)$  be the trapdoor parity-check matrix for  $\mathcal{A}(L, D)$ , so that  $H_0 = SH$  for some nonsingular matrix  $S$ . Compute  $c_0^T = Sc^T$ . Notice that  $c_0^T = S(He^T) = H_0e^T$ , a decodable syndrome (using the trapdoor). Also,  $S = H_0H^T(HH^T)^{-1}$ .
  - Decode the syndrome  $c_0^T$  to  $e^T$  using the decoding trapdoor.
  - Recover  $m$  from  $e$  via permutation ranking.



# Niederreiter Cryptosystem

- The computational security levels of McEliece and Niederreiter are exactly equivalent.
- Both need extra message formatting to achieve indistinguishability properties.
- Niederreiter leads more naturally to digital signatures.



# CFS Signatures

- Security based on the BDDP assumption.
- Represent the message as a decodable syndrome, then decode the syndrome to produce the error vector as the signature.
- Verify the signature by matching it to the syndrome of the message.
- Short signatures possible via permutation ranking.



# CFS Signatures

## □ System setup:

- Choose  $m, t \leq m$  and  $n = 2^m$ .
- Choose a hash function  $\mathcal{H}: \{0, 1\}^* \times \mathbb{N} \rightarrow (\mathbb{F}_2)^{n-k}$ .

## □ Key generation:

- Choose a  $t$ -error correcting, binary Goppa code  $\Gamma(L, g)$ , compute for it a systematic parity-check matrix  $H$ .
- $K_{\text{private}} = (L, g); K_{\text{public}} = (H, t)$ .



# CFS Signatures

## □ Signing a message $m$ :

- Let  $H_0$  be the trapdoor parity-check matrix for  $\Gamma(L, g)$ , so that  $H_0 = SH$  for some nonsingular matrix  $S$ . Find  $i \in \mathbb{N}$  such that, for  $c \leftarrow \mathcal{H}(m, i)$  and  $c_0^T \leftarrow Sc^T$ ,  $c_0$  is a decodable  $H_0$ -syndrome of  $\Gamma$ .
- Using the decoding algorithm for  $\Gamma$ , compute the error vector  $e$  whose  $H_0$ -syndrome is  $c_0$ , i.e.  $c_0^T = H_0e^T$ .
- The signature is  $(e, i)$ . Notice that  $c_0^T = H_0e^T = SHe^T$  and hence  $He^T = S^{-1}c_0^T = c^T$ , i.e.  $c = \mathcal{H}(m, i)$  is the  $H$ -syndrome of  $e$ .

## □ Verifying a signature $(e, i)$ :

- Compute  $c \leftarrow He^T$ .
- Accept the signature iff  $c = \mathcal{H}(m, i)$ .



# CFS Signatures

- The number of possible hash values is  $2^{n-k} = 2^{mt} = n^t$  and the number of syndromes decodable to codewords of weight  $t$  is

$$\binom{n}{t} \approx \frac{n^t}{t!}$$

- ∴ The probability of finding a codeword of weight  $t$  is  $\approx 1/t!$ , and the expected value of hash queries is  $\approx t!$ .



# CFS Signatures

- If the  $n$ -bit error  $e$  of weight  $t$  is encoded via permutation ranking, the signature length is  $\approx \lg(n^t/t!) + \lg(t!) = t \lg n \approx mt$ .
- Public key is huge:  $mtn$  bits.
- Recommendation for security level  $\approx 2^{80}$ :
  - original:  $m = 16, t = 9, n = 2^{16}$ , signature length = 144 bits, key size = 1152 KiB.
  - updated:  $m = 15, t = 12, n = 2^{15}$ , signature length = 180 bits, key size = 720 KiB;



# CFS Signatures

- Bleichenbacher's attack:  
Wagner's generalized (3-way) birthday attack  $\Rightarrow$  security level lower than expected.
- Larger key sizes, longer signature generation.
- Dyadic keys: shorter by a factor  $u =$  largest power of 2 dividing  $t$ , but  $2^u$  times longer signature generation.

m	t=9	t=10	t=11	t=12
15	60.2	63.1	67.2	<u>81.5</u>
16	<b>63.3</b>	66.2	71.3	<u>85.6</u>
17	66.4	69.3	75.4	<u>89.7</u>
18	69.5	72.4	79.5	<u>93.7</u>
		...		
22	<u>81.7</u>	<u>84.6</u>	<u>95.8</u>	<u>110.0</u>





# Stern Identification

- $H \in (\mathbb{F}_2)^{(n/2) \times n}$ : uniformly random binary parity-check matrix (N.B. originally of size  $(n-k) \times n$ ).
- Gaborit-Girault improvement: uniformly random double circulant  $H = [I \mid C]$ , with  $C_{ij} = c_{(j-i) \bmod n/2}$  for some  $c \in (\mathbb{F}_2)^{n/2}$ .
- Misoczki-Barreto alternative: uniformly random double dyadic  $H = [I \mid D]$ , with  $D_{ij} = d_{i \oplus j}$  for some  $d \in (\mathbb{F}_2)^{n/2}$ .



# Stern Identification

## □ Key pair:

- Private key: random  $x \in (\mathbb{F}_2)^n$  of weight  $t$ .
- Public key: syndrome  $s = xH^T \in (\mathbb{F}_2)^{n/2}$ .



# Stern Identification

## □ Commitment:

- The prover chooses a uniformly random word  $y \in (\mathbb{F}_2)^n$  and a uniformly random permutation  $\sigma$  on  $\{0, \dots, n-1\}$  and sends  $c_0 = \text{hash}(\sigma(y))$ ,  $c_1 = \text{hash}(\sigma(y + x))$ , and  $c_2 = \text{hash}(\sigma \parallel Hy^T)$  to the verifier.



# Stern Identification

## □ Challenge & Response:

- The verifier sends a uniformly random  $b \in \mathbb{F}_3$  to the prover.
- The prover responds by revealing:
  - $y$  and  $\sigma$  if  $b = 0$ ;
  - $y + x$  and  $\sigma$  if  $b = 1$ ;
  - $\sigma(y)$  and  $\sigma(x)$  if  $b = 2$ .



# Stern Identification

## □ Verification:

### ■ The verifier verifies that:

□  $c_0$  and  $c_2$  are correct if  $b = 0$ ;

□  $c_1$  and  $c_2$  are correct if  $b = 1$  (noticing that  $Hy^T = H(y + x)^T + Hx^T = H(y + x)^T + s^T$ );

□  $c_0$  and  $c_1$  are correct if  $b = 3$  (noticing that  $\sigma(y + x) = \sigma(y) + \sigma(x)$ ).

### ■ The probability of cheating in this ZKP is $2/3$ . Repeating $\lceil (\lg \varepsilon) / (1 - \lg 3) \rceil$ times reduces the cheating probability below $\varepsilon$ .



# Stern Identification

- Gaborit-Girault propose  $n = 347$  and  $t = 76$  to achieve security  $2^{83}$  with double circulant keys.
- Exactly the same parameters are fine with double dyadic keys.
- In either case the key is only  $2n = 694$  bits long and the global matrix  $H$  fits  $n = 347$  bits.



# Stern Identification

- Identity-based identification: Goppa trapdoor for the Stern scheme combined with CFS signatures.
- Stern public key is the user's identity mapped to a decodable syndrome (N.B. the identity has to be complemented by a short counter provided by the KGC).
- Identity-based private key is a CFS signature of the user's identity, i.e. an error vector  $x$  of weight  $t$  computed by the KGC.



# Choosing Parameters

- Using systematic (echelon) form, storage reduces to only  $k \times (n - k)$  bits.

security level	$m$	$n$	$k$	$t$	naïve key size	echelon key size	source
$2^{56}$	10	1024	524	50	65.5 KiB	32 KiB	original
$2^{80}$	11	1632	1269	33+1	74–253 KiB	57 KiB	BLP
$2^{112}$	12	2480	1940	45+1	164–587 KiB	128 KiB	BLP
$2^{128}$	12	2960	2288	56+1	243–827 KiB	188 KiB	BLP
$2^{192}$	13	4624	3389	95+2	698–1913 KiB	511 KiB	BLP
$2^{256}$	13	6624	5129	115+2	1209–4147 KiB	937 KiB	BLP





# Choosing the Code

- Most syndrome-based cryptosystems can be instantiated with general  $(n, k)$ -codes.
- Not all choices of code are secure.
  - McEliece with maximum rank distance (MRD) or Gabidulin codes is insecure (Gibson 1995, 1996).
  - Niederreiter with GRS codes is insecure (Sidelnikov-Shestakov 1992).
- Binary Goppa seems to be OK.
  - ... Except if the coefficients of the Goppa polynomial itself are all binary (Loidreau-Sendrier 1998).
  - Distinguishing a (complete) permuted Goppa code from a random code of the same length and distance (Sendrier 2000):  $O(t n^{t-2} \log^2 n)$ .



# Compact Goppa Codes?

- Recap: a *Goppa code* is entirely defined by:
  - a monic polynomial  $g(x) \in \mathbb{F}_q[x]$  of degree  $t$ ,
  - a sequence  $L \in (\mathbb{F}_q)^n$  of distinct elements with  $g(L) \neq 0$ .
- Features:
  - good error correction capability (all  $t$  design errors in the binary case).
  - withstood cryptanalysis quite well.
- Goal: replace the large  $O(n^2)$ -bit representation by a compact one (like above!).

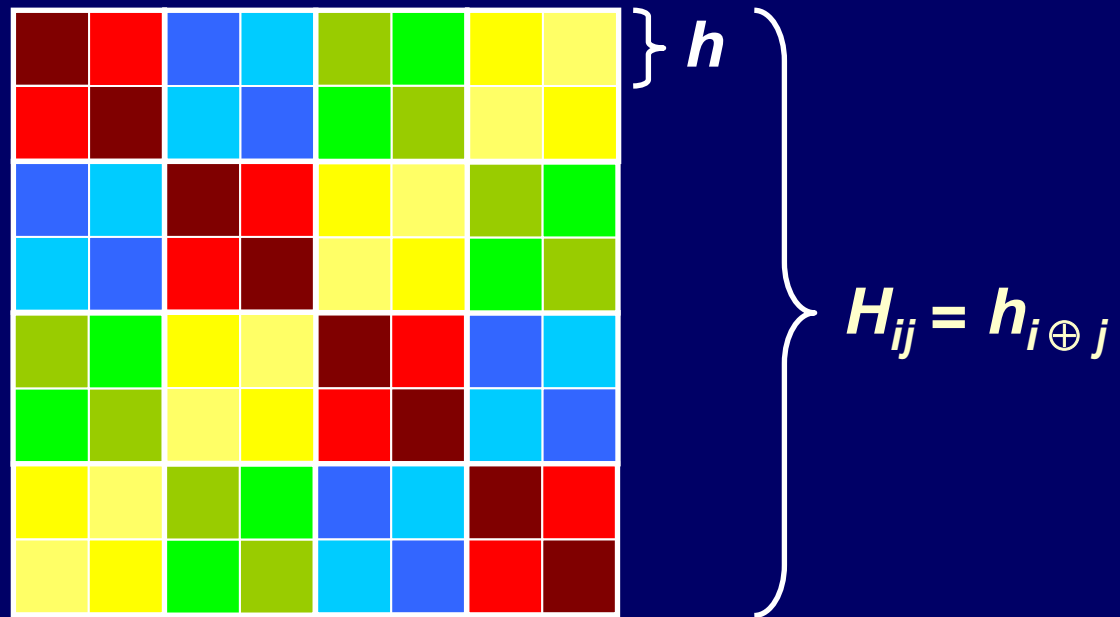


# Cauchy Matrices

- A matrix  $M \in \mathbb{K}^{t \times n}$  over a field  $\mathbb{K}$  is called a *Cauchy matrix* iff  $M_{ij} = 1/(z_i - L_j)$  for disjoint sequences  $z \in \mathbb{K}^t$  and  $L \in \mathbb{K}^n$  of distinct elements.
- Property: any Goppa code where  $g(x)$  is square-free admits a parity-check matrix in Cauchy form [TZ 1975].
- Compact representation, but:
  - code structure is apparent,
  - usual tricks to hide it destroy the Cauchy structure.

# Dyadic Matrices

- Let  $r$  be a power of 2. A matrix  $H \in \mathcal{R}^{r \times r}$  over a ring  $\mathcal{R}$  is called *dyadic* iff  $H_{ij} = h_{i \oplus j}$  for some vector  $h \in \mathcal{R}^r$ .





# Dyadic Matrices

- Dyadic matrices form a subring of  $\mathcal{R}^{r \times r}$  (commutative if  $\mathcal{R}$  is commutative).
- Compact representation:  $O(r)$  rather than  $O(r^2)$  space.
- Efficient arithmetic: multiplication in time  $O(r \lg r)$  time via fast Walsh-Hadamard transform, inversion in time  $O(r)$  in characteristic 2.
- **Idea:** find a dyadic Cauchy matrix.



# Quasi-Dyadic Codes

- **Theorem:** a dyadic Cauchy matrix is only possible over fields of characteristic 2 (i.e.  $q = 2^m$  for some  $m$ ), and any suitable  $h \in (\mathbb{F}_q)^n$  satisfies

$$\frac{1}{h_{i \oplus j}} = \frac{1}{h_i} + \frac{1}{h_j} + \frac{1}{h_0}$$

with  $z_i = 1/h_i + \omega$ ,  $L_j = 1/h_j - 1/h_0 + \omega$  for arbitrary  $\omega$ , and  $H_{ij} = h_{i \oplus j} = 1/(z_i - L_j)$ .



# Dyadic Cauchy Matrices

- Dyadic:  $M_{ij} = h_{i \oplus j}$  for  $h \in (\mathbb{F}_q)^n$ .
- Cauchy:  $M_{ij} = 1/(x_i - y_j)$  for  $x, y \in (\mathbb{F}_q)^n$ .
- Dyadic matrices are symmetric:  
$$1/(x_i - y_j) = 1/(x_j - y_i) \Leftrightarrow y_j = x_i + y_i - x_j \Leftrightarrow$$
$$-y_j = \alpha + x_j \text{ (taking } i = 0 \text{ in particular) for some}$$
$$\text{constant } \alpha \Leftrightarrow M_{ij} = 1/(x_i + x_j + \alpha) \text{ for } x \in (\mathbb{F}_q)^n.$$
- Dyadic matrices have constant diagonal:
  - $M_{ii} = 1/(2x_i + \alpha) = h_0 \Leftrightarrow$  all  $x_i$  equal (impossible) or char 2.



# Dyadic Cauchy Matrices

- Condition  $h_{i \oplus j} = 1/(x_i + x_j + \alpha)$  shows that  $\alpha = 1/h_0$  (taking  $i = j$  in particular), hence  $1/h_{i \oplus j} + 1/h_0 = x_i + x_j$ , or simply

$$x_i = 1/h_i + 1/h_0 + x_0$$

(taking  $j = 0$  in particular).

- Thus  $1/h_{i \oplus j} + 1/h_0 = x_i + x_j = 1/h_i + 1/h_j$ , so necessarily the sequence  $h$  satisfies

$$\frac{1}{h_{i \oplus j}} = \frac{1}{h_i} + \frac{1}{h_j} + \frac{1}{h_0}$$





# Constructing Dyadic Codes

- Choose distinct  $h_0$  and  $h_i$  with  $i = 2^u$  for  $0 \leq u < \lceil \lg n \rceil$  uniformly at random from  $\mathbb{F}_q$ , then set

$$h_{i+j} \leftarrow \frac{1}{\frac{1}{h_i} + \frac{1}{h_j} + \frac{1}{h_0}}$$

for  $0 < j < i$  (so that  $i + j = i \oplus j$ ).

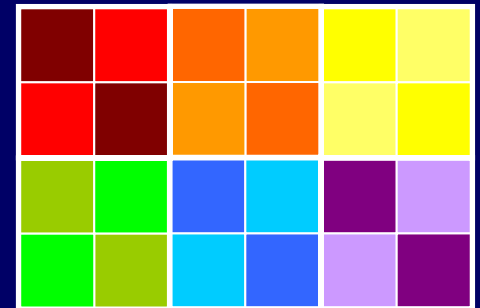
- Complexity:  $O(n)$ .

# Quasi-Dyadic Codes

## □ Structure hiding:

- choose a long dyadic code over  $\mathbb{F}_q$ ,
- blockwise shorten the code (Wieschebrink),
- permute dyadic block columns,
- dyadic-permute individual blocks,
- take a binary subfield subcode.

## □ Quasi-dyadic matrices: $((\mathbb{F}_2)^{t \times t})^{m \times \ell}$ .





# Compact Keys

- Binary quasi-dyadic codes obtained from a Goppa code over  $\mathbb{F}_{2^{16}}$  with  $t \times t$  dyadic submatrices:

level	$n$	$k$	$t$	size	generic	shrink	RSA
$2^{80}$	2304	1280	64	20480 bits	57 KiB	23	1024 bits
$2^{112}$	3584	1536	128	24576 bits	128 KiB	43	2048 bits
$2^{128}$	4096	2048	128	32768 bits	188 KiB	47	3072 bits
$2^{192}$	7168	3072	256	49152 bits	511 KiB	85	7680 bits
$2^{256}$	8192	4096	256	65536 bits	937 KiB	117	15360 bits



# Linear Attacks

- The relation between the decodable private parity-check matrix  $H$  and the public generator matrix  $G$  is  $HXG^T = 0$  for some permutation matrix  $X$ .
- Attack idea: guess  $H$  and solve the above equation for  $X$ .
- Possible when (1) it is feasible to guess  $H$ , and (2) the linear system is determined.



# Linear Attacks

- For a generic, irreducible Goppa code there are roughly  $O(q^t/(t \log q)) \sim O(2^{mt}/mt) \sim O(2^{2^m})$  possibilities for  $H$ , too many to mount an attack. Besides,  $X$  is as general as it can be, so there is no hope of getting a determined linear system.
- For a quasi-cyclic code there are only  $O(2^m)$  possibilities. Besides, the linear system is overdetermined due to severe constraints on  $X$ . As a consequence, most if not all quasi-cyclic proposals have been broken.



# Linear Attacks

- For a quasi-dyadic codes there are  $O(2^{m^2})$  possibilities, still too many. Besides,  $X$  is only constrained to consist of dyadic submatrices, but these are otherwise independent and the system remains highly indetermined.
- Hence quasi-dyadic, binary Goppa codes resist this kind of attack.